RETRIEVAL OF THE DIFFUSE ATTENUATION COEFFICIENT ($K_d$) FROM SENTINEL 2 USING THE 2SEACOLOR MODEL AND $K_d$’S IMPACTS ON SENSIBLE HEAT FLUX OVER NAMTSO LAKE IN TIBET, CHINA

PENG ZHANG
February 2018

SUPERVISORS:
Dr. Ir. Mhd, Suhyb Salama
Prof. Dr. Z, Su

ADVISOR:
Binbin, Wang
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PENG ZHANG
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SUPERVISORS:
Dr. Ir. Mhd, Suhyb Salama
Prof. Dr. Z, Su

ADVISOR:
Binbin, Wang

THESIS ASSESSMENT BOARD:
Prof. Dr. Daphne. van der Wal (Chair)
Dr. Jaime Pitarch Portero (External examiner, NIOZ-TEXEL)
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ABSTRACT

Diffuse attenuation coefficient \((K_d)\) for the underwater downwelling irradiance describes the attenuation of incident light in a water column. \(K_d\) is a crucial indicator of the aquatic ecosystem quality and heating transfer at the air-water interface. Here, an analytical forward model with an inversion scheme, 2SeaColor model, was employed in combination with Sentinel 2 data to estimate \(K_d\) over Namtso Lake in Tibet Plateau, China. Compared to existing models, 2SeaColor model can provide a stable solution for wide ranges of water types in different depth. \(K_d\) map was produced by 2SeaColor model over Namtso Lake, and the spatial patterns analysis of \(K_d\) map presents that the northeast and northwest of the lake showed higher \(K_d\) values and this spatial distribution of \(K_d\) could attribute to the determination of the concentration of suspended particulate matters, while the temporal distribution of \(K_d\) shared the similar pattern within the eight studied time series from September to December of 2016 and 2017.

In addition, this study also focuses on the correlation between \(K_d\) and sensible heat flux. It is known that \(K_d\) normally viewed as a constant in the mixed layer model or air-water interaction model. Physically, however, an increase of \(K_d\) means more incident solar energy is capping in the water, thus, the \(K_d\) impacts on water surface temperature, consequently on sensible heat flux. Therefore, this study firstly performed the correlation analysis between \(K_d\) and lake surface temperature which is the MODIS L2 land surface temperature products, and secondly conducted the correlation analysis between \(K_d\) and sensible heat flux. The results of correlation analysis showed (1) it is not conclusive that the \(K_d\) is correlated to the lake surface temperature in the temporal scale, while (2) most of the pixels in the \(K_d\) map and lake surface temperature map present a high correlation coefficient and (3) the correlation coefficient for the \(K_d\) and sensible heat flux is ~0.85 which indicate that \(K_d\) is significantly negatively correlated to sensible heat flux. This study is anticipated that \(K_d\) will find function in air-water interaction and mixed layer depth variation.

**Keywords:** diffuse attenuation coefficient, 2SeaColor model, Namtso Lake, sensible heat flux, correlation analysis
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<tr>
<td>K_d</td>
<td>Diffuse attenuation coefficient</td>
</tr>
<tr>
<td>IOPs</td>
<td>Inherent optical properties</td>
</tr>
<tr>
<td>H</td>
<td>Sensible heat flux</td>
</tr>
<tr>
<td>G</td>
<td>Heat storage in water</td>
</tr>
<tr>
<td>TP</td>
<td>Tibet Plateau</td>
</tr>
<tr>
<td>AC</td>
<td>Atmospheric correction</td>
</tr>
<tr>
<td>a</td>
<td>Absorption coefficient</td>
</tr>
<tr>
<td>b_s</td>
<td>Backscattering coefficient</td>
</tr>
<tr>
<td>CZCS</td>
<td>Atmospherically Correct Coastal Zone Colour Scanner</td>
</tr>
<tr>
<td>COASTLOOC</td>
<td>Coastal Surveillance through Observation of Ocean Colour</td>
</tr>
<tr>
<td>GOCI</td>
<td>Geostationary Ocean Colour Imager</td>
</tr>
<tr>
<td>Chl-a</td>
<td>Chlorophyll-a</td>
</tr>
<tr>
<td>SPM</td>
<td>Suspended particulate matters</td>
</tr>
<tr>
<td>PAR</td>
<td>Photosynthetically Active Radiation</td>
</tr>
<tr>
<td>LST</td>
<td>Lake surface temperature</td>
</tr>
<tr>
<td>S2 MSI</td>
<td>Sentinel 2 MultiSpectral Instrument</td>
</tr>
<tr>
<td>MSTK</td>
<td>MODIS Conversion Toolkit</td>
</tr>
<tr>
<td>U</td>
<td>Wind speed</td>
</tr>
<tr>
<td>T_a</td>
<td>Near surface temperature</td>
</tr>
<tr>
<td>T_s</td>
<td>Lake surface temperature</td>
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<td>Remote sensing reflectance</td>
</tr>
<tr>
<td>E_d</td>
<td>Downwelling irradiance</td>
</tr>
<tr>
<td>E_u</td>
<td>Upwelling irradiance</td>
</tr>
<tr>
<td>L_u</td>
<td>Upwelling radiance</td>
</tr>
<tr>
<td>R</td>
<td>Temporal correlation coefficient</td>
</tr>
<tr>
<td>r</td>
<td>Spatial correlation coefficient</td>
</tr>
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1. INTRODUCTION

Diffuse attenuation coefficient ($K_d$ in m$^{-1}$) for underwater downwelling irradiance is a key variable that can quantify the attenuation processes of incident light in a water column. It is a bulk measurement of the light propagation, and it could be used to evaluate heat and evaporation transfer at the water-atmosphere interface on the one hand. On the other hand, $K_d$ can determine whether there is sufficient Photosynthetically Active Radiation (PAR:400-700 nm) that can support photosynthesis in a water column (Stramska & Zuzewicz, 2013; Loiselle et al., 2009; Wu, Tang, Sathyendranath, & Platt, 2007). PAR is fundamental for the aquatic life activities. Thus, $K_d$ is a vital indicator of inland water quality which is of significance to the function of the aquatic ecosystem.

Due to the increasing anthropogenic activities and industry pollutions, a large number of inland waters are deteriorating (Pu, Liu, Qu, & Sun, 2017; Vorosmarty et al., 2010). The traditional methods to quantify and monitor the inland water quality are labour-intensive and time-consuming. Satellite remote sensing compared to the conventional methods can provide an effective way to obtain the water status parameters on spatial-scales (Glasgow, Burkholder, Reed, Lewitus, & Kleinman, 2004; Dekker, Vos, & Peters, 2002). It can also provide synoptic views of the water target over large areas and extend the predictable time periods. Remote sensing can measure the water leaving signals that characterize the optical properties of the water body. The signals recorded by sensors can disintegrate into some sub-signals. These sub-signals stem from the different attenuation processes in the atmosphere, at the water-atmosphere interface, and in the water column. Water leaving reflectance ($\rho_w$) is the signal of interest as it can be related to IOPs. To obtain $\rho_w$, atmospheric correction (AC) is needed to remove the interactions between the solar radiation and atmosphere. Therefore, this research performs both atmosphere correction of the signals recorded by sensors and using inversion scheme to quantify the inherent optical properties and then to derive the $K_d$.

From this perspective, with remote sensing technique, the interaction of transmitted solar radiation in a water column with the water constituents can be detected and quantified through IOPs (Mobley, 1994). These IOPs could be absorption and scattering caused by the optically significantly water components and thus determine the $K_d$. Further, eutrophication and thermal stratification (Liu et al., 2016) often lead to an increase of diffuse attenuation coefficient and water turbidity.

Since the operational algorithm (C2RCC) to retrieve $K_d$ of Sentinel 2 released recently, therefore, in this thesis, the MultiSpectral Instrument (MSI) on-board the Sentinel 2 satellite series designed by European Space Agency (ESA) will be used for retrieved $K_d$ by 2SeaColor model (Salama & Verhoef, 2015) and then validated by corresponding in-situ data as well as verified by C2RCC. It is studied that $K_d$ affected the incident solar energy partitioning in the water column at different depth by Read, Rose, Winslow, & Read, (2015). Thus, $K_d$ could impact on the water surface temperature, consequently on the heat transfer at the water-atmosphere boundary. Sensible heat flux (H) is one of the important heat flux components in the heat transfer process. Because H is capable of computing by the readily derived temperature. This thesis also analyses the relationship between $K_d$ and sensible heat flux (H) by firstly investigated the dependence of $K_d$ on water surface temperature.

To sum up, the thesis produced the $K_d$ map in varied time series using the 2SeaColor model in combination with Sentinel 2 data attempting to derive the spatial and temporal variations of Namtsa Lake in Tibet Plateau (TP). Besides, this thesis also analysed the $K_d$ impact on the water surface temperature and the sensible heat
flux to look for how $K_d$ affect the $H$. It is expected to provide a robust exploration of the $K_d$ impact on air-water interaction.

1.1. Problem definition

Despite the crucial significance of $K_d$ in the biogeochemical functioning of the inland water system; our current knowledge is incapable of fully understanding the optical complexity of interaction between the light and water components. In addition, most of the previous works developed the algorithms for the remote retrieval of $K_d$ through employing (quasi) single scattering approximation and they normally generate large uncertainty (more than 45%) on derived IOPs to the overall errors over turbid water (Lee, Arnone, Hu, Werdell, & Lubac, 2010a; Salama & Stein, 2009). Some were focused on improving atmospheric correction to obtain a higher accuracy of water leaving reflectance and then deducting the errors of $K_d$, very little research has been performed to improve the forward model for radiative transfer in water. However, 2SeaColor model developed by is a forward remote sensing model with an inversion scheme for turbid water. 2SeaColor model will be used in this research to investigate the opportunities of Sentinel 2 MSI to estimate $K_d$ in turbid inland water such as Namtso Lake.

Besides, previous studies (Frankignoul, Czaja, & L’Heveder, 1998; Giardino, Pepe, Brivio, Ghezzi, & Zilioli, 2001; Zhang et al., 2015) specified the $K_d$ of the visible part of radiation as a constant value when they are applying the water-heat transfer models and sea surface temperature models and, thus, could result in an inconsistency between model and observation (Denman, 1973). In fact, $K_d$ is with large variations spatially and temporally (Zheng et al., 2016; Tiwari & Shanmugam, 2014). Therefore, it is urged to investigate the spatial and temporal variations of $K_d$ and its relationship between water surface temperature as well as $H$ for the inland waters.

1.2. Research objectives

The main objective of this study is to derive diffuse attenuation coefficient ($K_d$) for Sentinel 2 data in Namtso lake and to assess the related errors using in situ data. The specific objectives are:

1. To apply 2SeaColor model to estimate $K_d$ over Namtso Lake.
2. To validate and assess the 2SeaColor model for Sentinel 2.
3. To analyse the spatial and temporal characteristics of $K_d$ in Namtso Lake.
4. To analyse the relationship between $K_d$ and $H$ regarding spatial and temporal variations in Namtso Lake.

1.3. Research questions

The following questions need to be addressed to achieve the objectives mentioned above for this work:

1. Is 2SeaColor model applicable to the Sentinel 2 series satellites?
2. To what extent that the derived $K_d$ map from Sentinel 2 is reliable and applicable?
3. What is the spatial and temporal characteristic of $K_d$ in Namtso lake?
4. What is the relationship between $K_d$ and $H$ with respect to spatial and temporal variations?
2. LITERATURE REVIEW

2.1. $K_d$ with respect to heat transfer at the water-atmosphere interface

The presence of water constituents leads to the increasing of $K_d$. An increasing $K_d$ value could lead to a reduction of the light penetration along the propagation path within the water column, thus, the temperature of the upper layer of a water body will increase (Chen, Zhang, Xing, Ishizaka, & Yu, 2017). In other words, $K_d$ effects the incident light energy distribution regarding vertical heat transfer within the water. And it is well known that one of the driven mechanism of sensible heat flux ($H$) is the gradient between surface temperature and air temperature (Brutsaert, 1982), thus $K_d$ plays a role in the heat transfer process at the water-atmosphere interface (Wu, Platt, Tang, & Sathyendranath, 2008). Besides, other physical processes can also influence water surface temperatures, for example, mixing driven by wind or convection (Read et al., 2012), or inflows (Imberger & Patterson, 1980).

Due to the lack of vertical temperature profile data of the Namtso Lake, heat storage in the water body ($G$) cannot computed. Thus, this thesis selected the sensible heat flux as the representative of heat transfer progress at the water-atmosphere interface. In summary, Figure 2-1 shows the physical process that $K_d$ impact on $H$ theoretically.

Some studies were carried out on the importance of $K_d$ with respect to the heat transfer at the water-atmosphere interface. Chang & Dickey (2004) suggested that increased $K_d$ could lead to the greater heat gain at the upper layer of the water body. The gained heat could result in the enhancement of the thermocline and lagging of the Chl-a in the eutrophic depth. Wu et al. (2007) studied the bio-optical heating caused by $K_d$ increasing and its contribution to upper dynamics in the Labrador Sea. The impact of $K_d$ on sea surface temperature was investigated by Wu et al. (2007) through comparing the mixed layer model that employed the modelled $K_d$ and an assumed constant $K_d$. Their results showed the sea surface temperature increased 1 $^\circ$C at most of the sea and up to 2.7 $^\circ$C at the high $K_d$ value area.

However, there are other factors also determine the $H$, for instance, air temperature, wind speed, atmospheric stability (Wang, Ma, Ma, & Su, 2017) except for $K_d$. Hence, it is complicated to find the relation between $K_d$ and $H$.

![Figure 2-1 Schematic of $K_d$ impact on $H$.](image)

2.2. Algorithms for estimation $K_d$

Figure 2-2 explicates the sources of signals using the remote sensing method to retrieve the $K_d$ for the inland water system, i.e. water constituents, adjacent land pixels, and atmosphere. Obviously, in order to derive $K_d$, atmospheric correction (AC) algorithm and $K_d$ retrieval algorithm are indispensable. A reliable AC algorithm was implemented to remove the atmospheric interaction and the adjacent effect. AC algorithm is going to be elaborated in the following part. Apart from AC, the analytical $K_d$ retrieval algorithms focus on the signal inside of the water.
The models used for estimating $K_d$ can be categorised into two types. The first ((a) in Figure 2-3) is the empirical model which based on the band ratio. The second ((b) in Figure 2-3) is based on the derived IOPs (i.e. absorption coefficient ($a$) and the backscattering coefficient ($b_b$)). The relationship between $K_d$ and IOP could be “semi” analytical or empirical both. And the details are unfolded below.

(a) Empirical models based on band ratio
Austin & Petzold (1981) developed an empirical algorithm to derive $K_d$ (490) from Atmospherically Correct Coastal Zone Colour Scanner (CZCS) data utilising the blue-green ratio of upwelling radiances above water ($L_w$). All the algorithms discussed in this part showed in Table 2-1. A slightly modified approach was proposed by Kratzer, Brockmann, & Moore (2008) to retrieve $K_d$ (490) from Medium Imaging Spectrometer...
(MERIS) data. Besides, two-step empirical algorithm with a concentration of chlorophyll as an intermediate link also developed (O’Reilly et al., 1998). This model developed by O’Reilly et al. (1998) is to first derive the concentration of chlorophyll, Chl, from remote sensing reflectance and then related it to K_d using empirically derived relationships. The spectrum ratio-based models are normally working well in clear water where the optical properties mainly governed by the presence of phytoplankton and its decomposed product. But they generally produce huge errors in turbid waters due to the complexed water components and intrinsic limitation of empirical models based on band ratio (Lee et al., 2005; Lee et al., 2010; Salama, Mélin, & Van der Velde, 2011).

Zhang & Fell (2007) developed a piecewise function which contains two independent algorithms under different conditions to derived K_d. This improved empirical model developed by Zhang & Fell (2007) used NOMAD in-situ data to define the model coefficients and used the Coastal Surveillance through Observation of Ocean Colour (COASTLOOC) in-situ data to validate. This model extends the range of applicability which means it also performed well in the turbid water.

<table>
<thead>
<tr>
<th>Type</th>
<th>Algorithm</th>
<th>Reference</th>
</tr>
</thead>
<tbody>
<tr>
<td>Empirical band ratio</td>
<td>( K_d(490) = 0.022 + 0.088 \left( \frac{I_w(443)}{I_w(550)} \right)^{-1.491} )</td>
<td>Austin &amp; Petzold (1981)</td>
</tr>
<tr>
<td></td>
<td>If ( \frac{R_s(490)}{R_s(555)} \geq 0.85 ) then ( K_d(490) = )</td>
<td></td>
</tr>
<tr>
<td></td>
<td>( 10^{(-0.843 - 1.459X - 0.101X^2 - 0.811X^3)} + 0.016 )</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Where ( X = \log_{10} \left( \frac{R_s(490)}{R_s(555)} \right) );</td>
<td></td>
</tr>
<tr>
<td></td>
<td>If ( \frac{R_s(490)}{R_s(555)} \leq 0.85 ) then ( K_d(490) = )</td>
<td>Zhang &amp; Fell (2007)</td>
</tr>
<tr>
<td></td>
<td>( 10^{(-0.094 - 1.302X + 0.247X^2 - 0.021X^3)} + 0.016 )</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Where ( X = \log_{10} \left( \frac{R_s(490)}{R_s(665)} \right) );</td>
<td></td>
</tr>
<tr>
<td>Empirical IOP</td>
<td>( K_d(490) = (1 + 0.005 \theta_e) a(490) + 4.18(1 - 0.52e^{-10.8a(490)}) b_6(490) )</td>
<td>Lee et al. (2005)</td>
</tr>
<tr>
<td></td>
<td>( K_d(490) = (1 + \cos \theta_e) a(490) + (a(490)^4 + a(490))^{0.01} )</td>
<td>Simon &amp; Shanmugam, (2016)</td>
</tr>
<tr>
<td>Fully analytical</td>
<td>( K_d = \frac{((k-s') \delta d + \alpha E_{dd} - \sigma E_u)}{E_d} ) (details in the method part)</td>
<td>Salama &amp; Verhoef (2015)</td>
</tr>
</tbody>
</table>

(b) Models based on IOPs
The model proposed by Lee (Lee, Du, & Arnone, 2005; Lee et al., 2005; Lee et al., 2002) is based on deriving IOPs using the QAA (quasi-analytical algorithm) and then relating K_d to derived IOPs (i.e. absorption coefficient \( a \) and backscattering coefficient \( b_6 \)) and boundary conditions such as solar zenith angle. Therefore, this algorithm proposed by Lee (Lee, Du, & Arnone, 2005; Lee et al., 2005; Lee et al., 2002) retrieves the K_d through two steps. The first step is to obtain IOPs from remote sensing reflectance using spectrum optimisation. And the second step is to derive K_d from obtained values of IOPs. Another semi-analytical model is developed by Simon & Shanmugam (2016) for retrieving K_d. The model developed by Simon & Shanmugam (2016) only considered the absorption coefficient at 490 nm as the key variable.
Further, their results of the model validated by a large number of field measurements and also compared to others existing models. Compared to these models, Salama & Verhoef (2015) developed a fully analytical model, 2SeaColor model, with an inversion scheme to derive the diffuse attenuation coefficients from the remote sensing satellite data. This 2SeaColor model projects the effect of turbidity on the inherent optical properties which is one of the differences between the quasi-single scattering models. Thus, the 2SeaColor model is appropriate for retrieving the $K_d$ both for clear and turbid water. In addition, 2SeaColor model can derive the depth profile of $K_d$ in a homogenous water layer. Besides, being an analytical model, the 2SeaColor model does not contain the empirical coefficients. As a result, the application of 2SeaColor model without limitation of a particular region. Yu, Salama, Shen, & Verhoef (2016) proposed an improvement on the parameterisations in the inverse scheme of the 2SeaColor model. The results of Yu et al. (2016) showed the reasonable magnitude and range of $K_d$ over Yangtze Estuary had been produced when applying the improved 2seacolor model on the Geostationary Ocean Colour Imager (GOCI) data.

2.3. Light propagation in Namtso Lake

$K_d$ describes the propagation of incoming light in a water column. Normally, the researchers pay more attention to the $K_d$ at a certain wavelength, for example, 490nm. While, due to a rare study carried on $K_d$ at a specific wavelength at Namtso Lake, this part introduced $K_d$ (PAR) for Namtso Lake. $K_d$ (PAR) calculate by a weighted average of $K_d$ values at a wavelength between 400 to 700 nm. In fact, the $K_d$ (PAR) also reflected the light propagation situation but only within the wavelength of 400 to 700nm.

Wang et al. (2009) measured the PAR at Namtso Lake. The result shows that (1) the average value of PAR is 2622 μmol·m⁻² which indicated strong solar radiation in the Namtso area, (2) there are two types of the vertical changing trend of PAR. One is exponentially declined from 4650 μmol·m⁻² at the surface to 100 μmol·m⁻² at a depth of 30 m. The other is a single sub-peak value appeared at the depth of 4-7 m, (3) the diffuse attenuation coefficient of PAR, $K_d$ (PAR), ranges from 0.07 to 0.17 m·1 with an average of 0.12 m⁻¹ and there are no obvious spatial variations.

Nima et al. (2016) suggested the $K_d$ (PAR) in the range of 0.12-0.16 m⁻¹ which is similar to the previous study. The result of Nima et al. (2016) also indicated that absorption by phytoplankton at 440 and 676 nm, dedicatedly due to the very low concentration of chlorophyll-a (Chl-a) in Namtso Lake. In addition, CDOM is seen to be the dominant absorbing component at the UV wavelength of 380 nm and the visible wavelength of 443 nm in this lake.

2.4. Sensible heat flux (H)

Sensible heat flux is a pivotal variable in the heat and water budget. Haginoya et al. (2009) calculated the sensible heat flux using the heat balance equation over Namtso Lake throughout one year. The Lake Surface Temperature (LST) and other meteorological data stem from the combination of Earth observation data and Ground Weather Station data. According to the results of seasonal variation analysis, the sensible heat flux was very small from February to July (pre-monsoon and mid-monsoon) whereas H is increasing dramatically from October to January. It showed a typical deep lake feature that can provide a tremendous heat to the atmosphere during post-monsoon. Wang et al. (2015) simulated the water-atmosphere heat transfer process by using a bulk aerodynamic transfer model over so-called small Namtso Lake. Based on Eddy Covariance (EC) measurements, Wang et al. (2015) indicated that with the presence of large water-atmosphere gradients and strong wind, the wind speed dominates the heat transfer of the interface between water and atmosphere. The other research (Wang, Ma, Ma, & Su, 2017) focused on physical control on different temporal scales of turbulent heat flux exchange over the small Namtso Lake. They indicated that the wind speed dominated the half hourly scale while water vapour and temperature difference tie the daily
and monthly scale of turbulence fluxes closely. However, this thesis investigated the relationship between $K_d$ and sensible heat flux at the same moment instead of within a time period. It means the instantaneous $H$ is more susceptible to the environment variables such as wind speed and air temperature. Therefore, it is a challenge to look for the relationship between $K_d$ and $H$.

3. **STUDY AREA AND DATASETS**

3.1. **Study area**

Namtso Lake (black area in Figure 3-1) is a mountain lake located in the Tibet Autonomous Region of China, approximately 112 kilometres north-northwest of Lhasa. The lake lies at an elevation of 4718 m above sea level and has a surface area of 1920 km$^2$ with the average depth of 33m and the maximum depth of 98.9 m (Wang et al., 2009). The length and width of the lake are approximately 65km and 40km, respectively. In addition, the ice phenology was investigated by Kropáček, Maussion, Chen, Hoerz, & Hochschild, (2013) and Ke, Tao, & Jin, (2013) showed below because the lake ice blocks the water-atmosphere, consequently obstructed the heat transfer between the lake and atmosphere, while, sublimation does not compensate this effect. Therefore, the analysis of ice phenology of Namtso Lake showed in Table 3-1 according to the previous study (Kropáček et al., 2013). But as the temperature of Namtso Basin trends to increase rapidly, the ice-free duration has been extended (Ke et al., 2013). Besides, the Namtso Lake is a subsaline lake (degree of mineralisation is 1.78 g·l$^{-1}$ based on the survey data in 1979), but researcher argued that it is gradually becoming salty (Guan et al., 1984).

Table 3-1 Ice phenology of Namtso Lake during 2001-2010. Freeze Onset (FO) indicates first ice formation; Freeze-Up (FU) refers to the date that the lake is fully covered by ice; Break-Up (BU) means the date is appearing the detectable ice-free water; Water Clean Ice denotes the end of ablation date that the full disappearance of ice.

<table>
<thead>
<tr>
<th>Name</th>
<th>Mean FO</th>
<th>Mean FU</th>
<th>Mean BU</th>
<th>Mean WCI</th>
</tr>
</thead>
<tbody>
<tr>
<td>Namtso Lake</td>
<td>4 Jan</td>
<td>14 Feb</td>
<td>4 Apr</td>
<td>15 May</td>
</tr>
</tbody>
</table>

Namtso Lake is a closed lake which is supplied mainly by precipitation and glacier meltwater which formed more than 60 rivers from the Nyainqentanghla Range (Guan et al., 1984; Wang et al., 2010). Grey silt, fine sand, and fine clay and sand regulate the suspended particulate matter in the Namtso lake which contains considerable carbonate. Barrier beaches formed of gravel, with some of them already cemented by calcite materials, are a major source of lakeshore deposits (Zhu et al., 2004). The dominant cations and anion are the Ca$^+$ as well as Na$^+$ and HCO$_3^-$ in the lake and its inflowing river water (Wang et al., 2010).

During the August-September season, the vertical profile of this lake can be classified according to the thermal stratification of three layers which are the epilimnion, metalimnion, and hypolimnion. The vertical fluctuation of diffuse attenuation coefficient is influenced by the existence of these distinguished layers while the spatial variations are not obvious (Liu & Chen, 2000; Wang et al., 2009).

Climatically, the study area is located in the transition zone that mainly impacted by the westerlies in winter and Indian summer monsoons in summer. Strong wind often occurs in winter, and the dominate wind direction is west-east controlled by westerlies. While, in summer, wind with low velocity forms (Kropáček et al., 2013).
Due to the unique characteristics, Namtso Lake as the largest lake in the centre of Tibet and since its remote and inaccessibility, it is considered to be the sensitive indicator of environmental and regional even global climate changes (Liu et al., 2016).

Figure 3-1 Study area: the Namtso Lake. Source: Digital Globe taken on 6th Mar 2015, ground resolution is 0.46 meters.

3.2. Datasets

3.2.1. In-situ data over Namtso Lake

In-situ data (blue dots in Figure 3-1) over Namtso lake consist of above-water upwelling radiance \( L_u \) in SI unit of W·m\(^{-2}\)·sr\(^{-1}\) as well as above-water downwelling irradiance \( E_d \) in SI unit of W·m\(^{-2}\) and the underwater downwelling planar irradiances at two depths, 0.3 m and 0.6 m \( E_d(z_1) \) and \( E_d(z_2) \) of Namtso Lake. In addition, the spectral resolution and the sampling interval are 3.3 nm and 1 nm, respectively. Also, the in-situ data including the corresponding location which is latitude and longitude recorded by GPS. The solar zenith angle was between 40° to 15° for all field data. Remote sensing reflectance \( R_a \) was calculated by the \( L_u \) and \( E_d \) as \( R_a = L_u / E_d \). \( E_d \) retrieved the \( K_d \) in two depths as:

\[
K_d = \frac{1}{\Delta z} \ln \frac{E_d(z_1)}{E_d(z_2)}
\]

This radiometric information collected by TriOS Ramses sensors, more detail can be derived from [http://www.trios.de/](http://www.trios.de/)

The data collection performed on 26th, 27th and 28th of May 2017, 60 datasets in total. The data quality checking (QC) analysis was performed with three steps: First, retrieving \( K_d \) by 2SeaColor model and
validating by the field $K_d$ data. Second, inspecting if the shapes of the outliers are abnormal or turbulent. Third, deleting the turbulent data. According to the result of the quality check, 17 datasets were selected for the further analysis.

The $L_d$ and $E_d$ values recorded by TriOS Ramses sensors equal to the diffuse and direct of the natural solar radiation. Thus, the $L_d$ and $E_d$ values depended strongly on the weather condition when the measurement executed. Table 3-2 shows the information of field data collection. The maximum values of $L_d$ and $E_d$ could reach 21.55 mW·m$^{-2}$·nm$^{-1}$·sr$^{-1}$ and 1249.77 mW·m$^{-2}$·nm$^{-1}$ and the minimum could reach 0.51 mW·m$^{-2}$·nm$^{-1}$·sr$^{-1}$ and 57.27 mW·m$^{-2}$·nm$^{-1}$ during sunny midday among all the measurements. These radiance and irradiance values indicated that the solar radiation intensity over the Namtso lake in the summer season is strong. In general, the $E_d(\lambda)$ spectra has a high magnitude in the blue-green region. The spectra of $K_d(\lambda)$ (Figure 5-1) is flat at the wavelength smaller than 700 nm and increase at the NIR band because the water molecules have a strong absorption effect at NIR. During the in-situ data collection, the solar zenith angle of Namtso Lake ranges from 49.25º~12.55 º.

Table 3-2 Field measurements.

<table>
<thead>
<tr>
<th>Sampling date</th>
<th>Sampling time (GMT+8)</th>
<th>Number of points</th>
<th>Number of points (after QC)</th>
<th>Weather condition</th>
</tr>
</thead>
<tbody>
<tr>
<td>26th May 2017</td>
<td>10:07-14:57</td>
<td>14</td>
<td>4</td>
<td>Cloudy</td>
</tr>
<tr>
<td>27th May 2017</td>
<td>11:18-14:55</td>
<td>36</td>
<td>13</td>
<td>Cloudy</td>
</tr>
<tr>
<td>28th May 2017</td>
<td>10:51-12:55</td>
<td>10</td>
<td>0</td>
<td>Cloudy</td>
</tr>
<tr>
<td>Total</td>
<td>/</td>
<td>60</td>
<td>17</td>
<td>/</td>
</tr>
</tbody>
</table>

3.2.2. Sentinel 2 MSI (S2 MSI) data and atmospheric correction

S2 MSI data has proven to be suitable for calculation of the water reflectance and thus retrieval of the water quality variables (Vanhellemont & Ruddick, 2016). Therefore, the Sentinel 2 was selected as the input of 2SeaColor model to estimate $K_d$ over Namtso Lake. The corresponding S2 MSI data for validation should also be collected in 26th and 27th of May of 2017 with minimal cloud cover. Unfortunately, only the data of 15th of May is available for the validation due to the others are contaminated by cloud. Besides, because the TP features favourably low cloud cover during winter, eight scenes of S2 MSI data from September to December of 2016 and 2017 were collected for investigating the correlation between $K_d$ and $H$. The dates of used S2 MSI data were shown in Table 3-3. Also, the acquisition time of S2 MSI over Namtso Lake is around 04:41 to 04:45(UTC). The solar zenith angle for all S2 data is ranging from 34º to 57º. In addition, a simple linear relationship was established to shift wavelengths at 440 nm, 660 nm, 700 nm, and 780 nm of the field data to the corresponding wavelengths at 443 nm, 665 nm, 705 nm, and 783 nm of S2 data due to the different channel setting between S2 and field radiometric measurements. The determination coefficients larger than 0.99 were selected for the further analysis.

Table 3-3 The dates of used S2 MSI data.

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Month</td>
<td>10</td>
<td>10</td>
<td>12</td>
<td>12</td>
<td>9</td>
<td>10</td>
<td>10</td>
<td>12</td>
</tr>
<tr>
<td>day</td>
<td>18</td>
<td>28</td>
<td>6</td>
<td>16</td>
<td>27</td>
<td>17</td>
<td>22</td>
<td>1</td>
</tr>
</tbody>
</table>
The spatial resolution of S2 is dependent on the particular spectral band. The 10 m spatial resolution bands are B2 (490 nm), B3 (560 nm), B4 (665 nm) and B8 (842 nm); The 20 m resolution bands are B5 (705 nm), B6 (740 nm), B7 (783 nm), B8a (865 nm), B11 (1610 nm) and B12 (2190 nm); The 60 m resolution bands are B1 (443 nm), B9 (940 nm) and B10 (1375 nm). Table 3-4 shows the detail of Sentinel 2 specification. In addition, the level 1C product of Sentinel 2 MSI which gives the Top of Atmosphere (TOA) reflectance has already performed the radiometric and geometric correction, and hence it was used in this research to derive $K_d$. Besides, the lake was clipped into two tiles of S2 data when downloading from the S2 data hub and thus mosaicking was performed. The pixel values of mosaic images are using the arithmetic mean of pixels for the two tiles.

Table 3-4 S2 MSI Specification.

<table>
<thead>
<tr>
<th>Spatial resolution (meters)</th>
<th>Revisit time (days)</th>
<th>SWATH width (km)</th>
<th>Spectral bands</th>
<th>Spectral range (nm)</th>
</tr>
</thead>
<tbody>
<tr>
<td>10,20,60</td>
<td>5</td>
<td>290</td>
<td>13</td>
<td>443-2190</td>
</tr>
</tbody>
</table>

For the aquatic application earth observation, the atmospheric correction (AC) is indispensable. The AC of S2 MSI data implemented by iCOR and Alcolite algorithm. Both these two AC algorithms are state-of-the-art and designed for aquatic application among S2 dedicatedly. iCOR is a plugin embedded in the Sentinel Application Platform (SNAP). SNAP is a software designedly developed by Telespazio Germany (TPZV) on behalf of ESA for the processing and analyzing the Sentinel 2 series data. The other alternative AC algorithm is Alcolite which can run in the Python environment. After performing the AC, the level 2A product was derived. The level 2A product includes the main output of Bottom of Atmosphere (BOA) corrected reflectance product and the additional product of an Aerosol Optical Thickness (AOT) map, a Water Vapor (WV) map etc.

The details of these two AC algorithms could be found in the part of Methodology.

3.2.3. Meteorological and Land Surface Temperature (LST) data

One of the objectives of this study is looking for the relation between $K_d$ and H. However; it is hard to observe the relation between $K_d$ and H directly due to the dynamic nature of the water surface and atmosphere. Hence, in order to analyse the relation between $K_d$ and H, the study of the relation between $K_d$ and Lake Surface Temperature (LST) was first performed.

To begin with, there are several substitutes for the LST data such as (1) interpolating the surface temperature form the automatic weather stations (AWS) which can provide the Namtso Lake surface temperature, (2) using mathematical models to calculate the LST data from S2 MSI, (3) using the MODIS LST data directly. However, with considering the temporal and spatial data processing as well as the amount of data processing, the MODIS LST data was selected to perform the analysis.

The MODIS instrument onboard the Terra and Aqua spacecrafts. They provide global coverage every day in 36 discrete spectral bands with viewing swath width of 2330 km. Terra’s/Aqua’s sun-synchronous, the near-polar circular orbit is timed to cross the equator from north to south/south to north (descending/ascending node) at approximately 10:30 a.m./p.m. local time. Additionally, some previous studies (Xiao et al., 2013; Crosman & Horel, 2009) showed that MODIS/Terra LST product could provide a better performance in terms of determination coefficient, bias, and RMSE than the MODIS/Aqua product. Also given the amount of data processing, the level 2 LST MODIS/Terra version 6 product (MOD11_L2), therefore, with the corresponding acquisition dates of Sentinel 2 were collected and processed for this study. It has to be mentioned that the level 2 MODIS products were swath data without geolocation system. Hence, the level 2 product was georeferenced and projected by ENVI plugin, MODIS Conversion Toolkit (MCTK) 2.1.7, developed by Devin White. The MCTK released on GitHub
https://github.com/dawhite/MCTK. The LST MODIS/Terra product was reprojected onto WGS84/UTM Zone 46N which was corresponding to S2 data. More information could be found in the User's Guide of MCTK.

The overpass time of MODIS recorded in coordinated universal time (UTC). MODIS/Terra LST products provided two times (daytime and night time) per day measurements (Hu, Brunsell, Monaghan, Barlage, & Wilhelmi, 2014). The MODIS/Terra overpass time for Namtso Lake is about 04:05 to 05:25 (UTC) (daytime) and 15:40 to 16:45 (UTC) (night-time) in the study period, respectively. Concerning the acquisition time of S2 MSI, the daytime MODIS/Terra product was selected for the further analysis. However, the daytime MODIS/Terra product on the days of 18th October.2016, 28th October.2016, 16th October.2016, and 1st December.2017 were not available because of the cloud contamination, thus, the night-time MODIS/Terra products instead as the proxies.

The spatial resolution of MOD11_L2 product is 1 km. Due to the size of the Namtso Lake (L: 65 km, W: 40 km), the resolution of the MOD11_L2 product is acceptable. The MOD11_L2 product is the result of the split-window method described by Zhengming Wan & Dozier (1996) and filtered with the MODIS Cloud Mask products (MOD35 L2).

The meteorological data include wind speed (U) and near-surface air temperature (Ta) at the reference height of 2.7 m which were derived from planetary boundary layer (PBL) tower (30°48'53.44"N 90°47'49.72"E, green star in Figure 3-1) installed by the Nam Co Monitoring and Research Station for Multisphere, which was established by the Institute of Tibetan Plateau Research, Chinese Academy of Sciences (Ma et al., 2014). The wind speed and near-surface air temperature are measured at 05:00 (UTC) which is corresponding to the acquisition time of S2 data.
4. METHODOLOGY

4.1. Flowchart of methodology

Figure 4-1 showed the overview of this study. Apparently, this study divided into three parts. The first part concentrates on validating the 2SeaColor model by the in-situ data. Remote sensing reflectance ($R_s$) was inputted to the 2SeaColor model and then produced the $K_d$. Further, compared $K_d$ produced by 2SeaColor model to $K_d$ form in-situ data, consequently, the 2Seacolor model was validated by the in-situ measurements.

The second part focuses on dealing with the Sentinel 2 images and applying the 2SeaColor model to calculate the $K_d$ of Namtso Lake. In addition, the validated 2SeaColor model applies to the Sentinel 2 images to produce the $K_d$ map over Namtso Lake. Certainly, the validation of $K_d$ map estimated by the combination of Sentinel 2 and the 2SeaColor model by the in-situ measurements could also be performed.

The third part is trying to establish a relationship between $K_d$ and $H$ to test the physical mechanism that how $K_d$ impacts on $H$. This step achieved by first investigating the correlation between $K_d$ and lake surface temperature (LST). Next, utilising the wind speed ($U$) and near-surface air temperature to calculate the $H$. At last, the spatial and temporal patterns were analysed between $K_d$ and $H$.

$K_d$ in this context specifies the $K_d$ at a wavelength of 490 nm, namely $K_d(490)$. Because $K_d$ as an apparent optical property (AOP) determined by such factors as viewing illumination geometries and inelastic scattering, thus, $K_d$ is not constant with depth. However, as long as $K_d$ at the adopted wavelength (490 nm), the effect exerted by these factors are too small to consider compared to the overall measurement errors (Zhang & Fell, 2007).
Figure 4-1 The flowchart of this study.

4.2. Models description

The 2SeaColor model is a two-stream remote sensing model proposed by Salama & Verhoef (2015). This model gives the solution of two-stream radiative transfer equation. The solution includes the forward formulation which connected $R_s$ to IOPs as well as the inversion scheme which is used for calculating $K_d$ from IOPs.

4.2.1. Forward formulation

The forward formulation simulated the attenuation process using the first order radiative transfer equation (RTE) inside the water column for different components of irradiance, i.e. $E_{ds}$ is the dowelling direct irradiance, $E_{dd}$ is the dowelling diffuse irradiance, $E_d$ is the total dowelling irradiance that equivalent to the sum of $E_{ds}$ and $E_{dd}$, $E_u$ is the upwelling irradiance. Also, the solution of the RTE was given. Therefore, the function between $K_d$ and $R_s$ was derived. The following part presented the solution of RTE in the water column given by Salama & Verhoef (2015).

4.2.1.1. First-order differential radiative attenuation process inside the water column: mathematical implementation

The attenuation process of incident light within a water column characterized by the interaction between the light and the water constituents. The interaction consists of absorption and scattering. The absorption coefficient $a$ and the backscattering coefficient $b_b$ normally represent the optical properties of the water body. Figure 4-2 explicated the forward and backward scattering of a water molecule.
Figure 4-2 The scattering of a water molecule.

The radiative transfer equation described below (Duntley, 1942; Duntley, 1963):

\[
\frac{dE_{dd}}{dz} = -s'E_{dz} + \alpha E_{dd} - \sigma
\]
\[
\frac{dE_u}{dz} = sE_{ds} + \sigma E_{dd} - \alpha E_u
\]

where \(k, s', s, \alpha, \) and \(\sigma\) are the attenuation coefficients for different irradiance components. These components are listed in the Table 4-1 below.

Table 4-1 The coefficients description in the attenuation process.

<table>
<thead>
<tr>
<th>Coefficients</th>
<th>Definition</th>
<th>Equation</th>
</tr>
</thead>
<tbody>
<tr>
<td>(k)</td>
<td>The extinction coefficient (m^{-1})</td>
<td>(k = c / \mu_s)</td>
</tr>
<tr>
<td>(s')</td>
<td>Forward scattering for direct sunlight</td>
<td>(s' = b_f / \mu_s)</td>
</tr>
<tr>
<td>(s)</td>
<td>Backscattering direct for direct sunlight</td>
<td>(s = b_b / \mu_s)</td>
</tr>
<tr>
<td>(\alpha)</td>
<td>The diffuse extinction coefficient</td>
<td>(\alpha = 2a + 2b_b)</td>
</tr>
<tr>
<td>(\sigma)</td>
<td>The diffuse backward scattering coefficient</td>
<td>(\sigma = b_b)</td>
</tr>
<tr>
<td>(c)</td>
<td>The extinction coefficient</td>
<td>(c = a + b)</td>
</tr>
<tr>
<td>(\mu_s)</td>
<td>Cosine of solar zenith angle beneath the water</td>
<td>(\mu_s = \cos \theta_s)</td>
</tr>
</tbody>
</table>

The diffuse attenuation coefficient, \(K_d\), for the direct and diffuse light was described by the following differential equation as:
\[ K_d = \frac{1}{E_d} \frac{dE_d}{dz} \]  

According to the solution of Eq. (1) given by Salama & Verhoef (2015), the function was shown below.

\[ K_d = \frac{(k - s')E_{ds} + \alpha \cdot E_{dd} - \sigma \cdot E_u}{E_d} \]  

where

\[ E_d = E_{dd} + E_{ds} \]  

The \( r_\infty \) and \( r_{sd}^\infty \) are the bi-hemispherical reflectance for the semi-infinite medium and the directional-hemispherical reflectance of the semi-infinite medium. It is given by:

\[ r_\infty = \frac{x}{1 + x + \sqrt{1 + 2x}} \]  

\[ r_{sd}^\infty = \frac{\sqrt{1 + 2x} - 1}{\sqrt{1 + 2x} + \cos \theta_s} \]  

where \( x = \frac{b_b}{a} \).

Therefore, the \( K_d \) was characterized by the IOPs. In addition,

\[ R(0) = \frac{E_u(0)}{E_d(0)} = \frac{r_\infty \cdot E_{dd}(0) + r_{sd}^\infty \cdot E_{ds}(0)}{E_d(0)} \]  

described the irradiance reflectance just beneath the water surface \( R(0) \). And then the \( R(0) \) converted to the \( R_\alpha \) just above the water (Mobley, 1994):

\[ R_{rs} = \frac{0.52R(0)}{Q - 1.7R(0)} \]

where \( Q \) is the Radiance-irradiance transfer coefficient which equals 3.25 in steradian (sr) under sunny conditions (Lee et al., 2002).

Hereafter, the function between \( R_\alpha \) and \( K_d \) was established.

### 4.2.1.2. Forward scattering of suspended particles

The suspended particles normally present a peaked forward scattering which is different from the water molecules. The schematic diagram of the suspend particulate showed in Figure 4-3. In general, the reflectance only related to the \( x \) which equals \( \frac{b_b}{a} \). This would imply that the forward scattering is not taken into accounted the attenuation and backscattering. However, one can imagine that a proportion of the forward scattering still diffused and attenuated. Therefore, the 2SeaColor model consider the forward scattering component as a non-scattered flux in the attenuation process through similarity transformation (Hulst, 1981).
4.2.2. Inversion scheme

The original 2SeaColor model proceeds through the assumption of the water molecule are the only contributor to absorption of incident light in a water column within Near Infrared (NIR). Meanwhile, if \( x \) was obtained through the relationship of \( R_{\text{rs}} \) and \( x \), the \( b_b \) at NIR can be calculated. The \( b_b \) values at shorter wavelengths then obtained by bio-optical model. Therefore, \( a \) can be calculated from the \( b_b \) and \( x \) and thus, only backscattering coefficient should be parameterized. Recently, this model improved by Yu et al. (2016) using a parameterization of (Salama, Dekker, Su, Mannaerts, & Verhoef, 2009; Salama & Shen, 2010). Instead of parameterising the \( b_b \), the improved 2SeaColor model parameterised the absorption coefficient of chlorophyll(\( a_\varphi \)) and combination of CDOM and non-algae (\( a_{dG} \)). The inversion scheme (Figure 4-4) started by firstly inputting the initial values. Subsequently, the spectral optimization was employed to simulate the \( R_{\text{rs}} \) through a nonlinear curve fitting based on the calculation of the minimum sum of squared differences. The parameterization showed below.

\[
\begin{align*}
    b_b &= b_{bp}(\lambda_0)\left(\frac{\lambda_0}{\lambda}\right)^Y \\
    a_\varphi(\lambda) &= a_0(\lambda) + a_1(\lambda)\ln\left(\frac{a_\varphi(440)}{a_\varphi(440)}\right)a_\varphi(440) \\
    a_{dG}(\lambda) &= a_{dG}(\lambda_0)e^{-S(\lambda-\lambda_0)}
\end{align*}
\]

where \( Y \) is the spectral slope of suspended particulates, and \( S \) is the spectral slope of the combination of CDOM and non-algae. Note \( Y \) is ranging from 0.3 to 1.7 (Lee, Du, et al., 2005). \( S \) is ranged between 0.011 and 0.019 nm\(^{-1}\) and an average value of 0.015 nm\(^{-1}\) is adopted in this study (Lee et al., 2002).
4.3. Atmospheric correction (AC) for Sentinel 2 data

AC for the coastal, transitional, and inland water application is a challenge due to the average ocean colour AC algorithms normally neglect surface level change, adjacency effects, and non-Lambertian surface reflection. Whereas iCOR and Alcolite are the state-of-the-art AC algorithm specify application of water which released on 8th of August 2017 and 18th July 2017, respectively. These two AC algorithms are going to be unfolded sequentially.

iCOR was originally designed for the airborne hyperspectral imagery. iCOR perform AC in following steps: (1) identify the water and land pixels, and the land pixels are used for the generation of AOT, (2) adjacency correction performed by SIMEC (Similarity Environment Correction), and (3) MODTRAN 5 (Berk et al., 2006) Look Up Tables (LUT) was used to perform the AC. The strength of iCOR is that it can recognize if a target pixel is a water or land and then performs a dedicated correction (Sindy Sterckx et al., 2015). In addition, the extra module, SIMEC (Similarity Environment Correction), which can remove the contamination of water pixels from the light of adjacency land and vegetation pixels (S Sterckx, Knaeps, Kratzer, & Ruddick, 2014). Three output files of iCOR contain: (1) all the spectra at 60m spatial resolution, (2) only bands with originally 20m spatial resolution and (3) only bands with originally 10m spatial resolution. More information could be found in the iCOR manual: http://ec2-54-149-255-197.us-west-2.compute.amazonaws.com/icor/manual/iCORpluginUserManual_v1.8.pdf.

Alcolite is an AC algorithm which can provide simple and fast processing for the Landsat 8 and S2 data. Alcolite execute atmospheric correction in two steps: (1) after Rayleigh scattering correction using the 6SV code (Vermote et al., 2006) to generate the LUT and (2) an aerosol correction based on the assumption of black SWIR bands over water due to the pure water molecules absorption and multiple scattering aerosol reflectance spectra (Vanhellemont & Ruddick, 2016). The resolution of output images is user-defined. It is worthy to mention that the Alcolite is a very powerful algorithm which can produce the product of, i.e. $R_a$.
4.4. Data analysis and accuracy assessment

To illustrate the performance of these models, there are four statistical parameters are planning present for the retrieved \(K_d\) (490) from the model result and known \(K_d\) (490) from in-situ data. The five statistical parameters are the root mean square error (RMSE), mean of absolute relative-differences (rMAD), slope and intercept of the linear regression as well as the square of correlation coefficient \(R^2\). The RMSE and rMAD expressed as:

\[
RMSE = \sqrt{\frac{\sum(\text{derived} - \text{known})^2}{N}} \quad (12)
\]

\[
rMAD = \sum \left| \frac{1 - \text{derived}}{\text{range}} \right| \times 100\% \quad (13)
\]

where \(N\) is the number of the observations. The slope and intercept are computed by model-II (Laws, 1997) regression. Clearly, for the perfect goodness-of-fit between retrieved and known \(K_d\) (490) should have RMSE=0, rMAD = 0, slope = 1, intercept = 0 and \(R^2 = 1\).

It is inevitable that validation of the \(K_d\) map produced by application of best model from Sentinel 2. The accuracy assessment also represents the four statistical parameters.

4.5. Verification by Case 2 Regional Coast Colour (C2RCC) algorithm

The 2SeaColor model was verified by the C2RCC algorithm. Doerffer & Schiller, (2007) pioneered the development of the C2RCC algorithm for MERIS using the neural network technique. The C2RCC algorithm performed the AC and derived IOPs based on over five million cases of water types that generated by neural networks. The C2RCC also gives the \(K_d\) at the wavelength of 489 nm that employed in this thesis to verify the 2SeaColor model. C2RCC is applicable for all the ocean colour sensors, i.e. Sentinel 3 OLCI, Sentinel 2 MSI, MERIS and SeaWiFS. C2RCC was widely validated by numerous of researchers, and it can access by ESA’ s Sentinel toolbox, SNAP. The detail of the atmospheric correction and IOPs retrieval is illustrated by Brockmann et al., (2016).

4.6. The correlation analysis for \(K_d\) and \(H\)

From a physical view, the \(K_d\) impacts on the LST, and consequently on \(H\). However, it is hard to observe the impaction. Therefore, the correlation analysis between \(K_d\) and \(H\) performed by firstly, correlating the \(K_d\) and MODIS LST product, and secondly by analysing the spatial dependency relationship between \(K_d\) and \(H\).
4.6.1. The temporal correlation analysis for $K_d$ and LST

The LST product was georeferenced and projected to share the same geographical and projection coordinate with the $K_d$ map produced by 2SeaColor model. Also, the values of not a number (NaN) in the LST product was masked out. In addition, the correlation analysis executed only for the water pixels, thus, the $K_d$ values ranging from 0 to 2 m$^{-1}$ were selected to define the water pixels. The correlation coefficient, $R$, was computed by using

$$R = \frac{\sum_m \sum_n (A_{mn} - \bar{A})(B_{mn} - \bar{B})}{\sqrt{(\sum_m \sum_n (A_{mn} - \bar{A})^2)(\sum_m \sum_n (B_{mn} - \bar{B})^2)}}$$

(14)

where $A$ and $B$ are the matrices (images) that share the same size, i.e. $m$ rows and $n$ columns (pixel location). Besides, $\bar{A}$ and $\bar{B}$ are the mean value in $A$ and $B$. Hence, the basic operator what Eq. (14) calculated is, the difference, for every water pixel location, between the value in that pixel and the mean value of the whole image (Figure 4-5). Besides, the denominator presents a kind of normalization where the pixel value difference within every individual image. In summary, $R$, in this context, showed the overall correlation of all pixels regardless the spatial aspect. Therefore, $R$ can indicate the relationship of two images with respect to temporal variations.

Figure 4-5 Schematic diagram of temporal correlation.

4.6.2. The spatial correlation analysis for $K_d$ and LST

The spatial correlation analysis computed the correlation coefficient for every water pixel of the LST daytime products (6th December.2016, 27th September.2017, 17th October.2017, and 22nd October.2017) and corresponded $K_d$ maps (Figure 4-6). Therefore, the result is a map of correlation coefficient which can present the spatial relationship.
Figure 4-6 Schematic diagram of spatial correlation.

4.6.3. The correlation analysis for $K_d$ and $H$

$H$ was calculated as:

$$ H = C_p \rho_a C_H U (T_s - T_a) $$  \hspace{1cm} (15)

where $C_p$ (1009 J*kg$^{-1}$*K$^{-1}$ at 10 °C) is the specific heat of air, $\rho_a$ (0.73 kg*m$^{-3}$ at TP) is the air density, $C_H$ is the bulk transfer coefficient which adopt $1.834 \times 10^{-3}$ at reference height above the lake according to Kondo, (1975), and $U$ is the wind speed. $T_s$ and $T_a$ are the lake surface temperature and air temperature obtained from PBL tower at reference height, respectively.

The correlation coefficient for the $K_d$ and $H$ was calculated only for the daytime LST time series because this correlation coefficient was based on a pixel which could induce turbulence if the entire time series was counted.
5. RESULTS

5.1. Remote sensing reflectance and \( K_d \) from in-situ measurement

The remote sensing reflectance (\( R_{rs} \)) and the diffuse attenuation coefficient (\( K_d \)) calculated from field data (\( E_d \) and \( I_d \)) are presented in Figure 5-1. The peak in \( R_{rs} \) (Figure 5-1 (a)) can be found at blue-green band region. On the contrary, the extremely low values of \( R_{rs} \) were caused by the intense absorption of pure water molecules in the red and NIR (Majozi, Suhyb, Bernard, Harper, & Ghirmai, 2014) (Ruddick, De Cauwer, Park, & Moore, 2006). Looking at the \( K_d \) (Figure 5-1 (b)), the valley at blue-green band represent the attenuation of incident light is not strong, while the peak in Figure 5-1 (b) at NIR band also showed the consistent result with \( R_{rs} \) as almost all incident light was absorbed at NIR band.

![Figure 5-1 Field measurements of \( R_{rs} \) and \( K_d \) spectrum curves (black) with their mean (red) and standard deviation (blue) values.](image)

Table 5-1 showed the mean values and standard deviations for \( K_d \) as an auxiliary for understanding the spectrum. Therefore, these spectrum features suggested most of the sites of water are relatively clear without so much presence of phytoplankton, SPM, and CDOM. This preliminary speculation is similar to the result of the previous research (Yong, Liping, Junbo, Jianting, & Xiao, 2012).

The \( R_{rs} \) spectrum curves highly fluctuate over the visible and near-infrared area. In addition, the \( K_d \) spectrum curves also showed high variation with respect to magnitude and shape. Variations of these spectrum curve mainly due to the undulating weather condition which means high frequency switching of cloudy and clear skies at the time the spectra were collected. Besides, the optically important water constituents spatial and temporal distribution also accounts for the fluctuations. As a matter of fact, the underwater topography and river supply may also exert an unexpected effect on the \( R_{rs} \) and \( K_d \) variations.

Table 5-1 The average values (mean) and standard deviations (STD) for the \( R_{rs} \) and \( K_d \) of selected wavelengths over Namtso Lake.

<table>
<thead>
<tr>
<th>Wavelength</th>
<th>400</th>
<th>450</th>
<th>500</th>
<th>550</th>
<th>600</th>
<th>650</th>
<th>700</th>
<th>750</th>
<th>800</th>
</tr>
</thead>
<tbody>
<tr>
<td>( K_d ) Mean</td>
<td>0.61</td>
<td>0.61</td>
<td>0.61</td>
<td>0.64</td>
<td>0.80</td>
<td>0.94</td>
<td>1.19</td>
<td>2.97</td>
<td>2.75</td>
</tr>
<tr>
<td>( K_d ) STD</td>
<td>0.39</td>
<td>0.44</td>
<td>0.47</td>
<td>0.51</td>
<td>0.56</td>
<td>0.60</td>
<td>0.65</td>
<td>0.93</td>
<td>0.91</td>
</tr>
</tbody>
</table>
5.2. Validation for \( K_d \) retrieved by 2SeaColor model

5.2.1. Validating \( K_d \) by in-situ measurements

2SeaColor model (Salama & Verhoef, 2015) are used to estimate the \( K_d \) of Namtso Lake. Figure 5-2 showed the scattering plot of derived \( K_d \) (490nm) from the model against known \( K_d \) (490nm) from field measurements. The only input of 2SeaColor model is the remote sensing reflectance from field measurements.

The \( K_d \) produced by 2SeaColor possesses a relatively large deviation in turbid water where \( K_d > 0.2 \text{ m}^{-1} \) (T. Zhang & Fell, 2007). Besides, the model underestimated the \( K_d \) when the \( K_d \) values greater than 0.5 \text{ m}^{-1} as the slope (=0.765) is more than 20% off unity. Looking at the \( R^2 (=0.765) \) and the relative error, rMAD (=12.32%), which demonstrate the 2SeaColor model is relatively reliable. Besides, the intercept (=0.07) from the linear regression between modelled and known \( K_d \) of 2SeaColor model is close to zero. The intercept represented a small linear offset between the modelled \( K_d \) and known \( K_d \). Therefore, it can conclude from above results the 2SeaColor model performs acceptably despite the underestimation.

![Figure 5-2 Derived \( K_d \) (490nm) from 2SeaColor model against known \( K_d \) (490nm) from field measurements.](image)

5.2.2. Validating \( K_d \) by Convolved in-situ measurements

The instrument spectral response functions (SRFs) or relative spectral responses describe the quantum efficiency of an instrument at specific wavelengths over the range of a spectral band (Fleming, 2006). Therefore, the Rrs measured by TriOS Ramses sensors (in situ data) should be matched up the S2 MSI, namely convolution. The convolution achieved by the following formula

\[
R_{rs(i)(\text{convolved})} = \frac{\int_{\lambda_1}^{\lambda_2} (R_{rs(j)}(\text{in-situ}) (\lambda) \ast SRF_{i}(\lambda)) d\lambda}{\int_{\lambda_1}^{\lambda_2} SRF_{i}(\lambda) d\lambda}
\]

where \( i \) is the number of bands of Sentinel 2, \( j \) is the site's number of in situ data as well as the \( \lambda_1 \) and \( \lambda_2 \) are adopt 400nm and 800nm thereby.

Figure 5-3 showed the validation of 2SeaColor model by convolved in-situ Rrs measurements. Obviously, the 2SeaColor model performed better after convolution. But \( K_d \) is also dispersed as increasing of the known \( K_d \). The \( R^2 \) was slightly higher than the result of field modelled. The rMAD and slope were diminished. Also, the almost accordance slope was given by 2SeaColor model after convolution. In summary, the convolution provided an overall better result for the modelling.
5.3. **Estimating $K_d$ from Sentinel 2 image**

In order to further illustrate the potential of the 2SeaColor model for remote sensing $K_d$, the Sentinel 2 data were tested to validate the applicability. The processing of Sentinel 2 proceeds to the atmospheric correction. Simultaneously, convolving the in situ remote sensing reflectance to Sentinel 2 MSI spectra. After convolution, the newly produced in situ remote sensing reflectance data transfer the view of TriOS Ramses sensors to the view of Sentinel 2 MSI. Sequentially, mapping $K_d$ by applied 2SeaColor model to atmospheric corrected Sentinel 2 image. At last, validating the map of $K_d$ generated from 2SeaColor model by the in situ $K_d$ measurements.

5.3.1. **Atmospheric correction (AC) for Sentinel 2 image**

The weather was cloudy when collecting the field data which resulted in the large errors occurred for both iCOR and Alcolite algorithms. However, iCOR has better performance for some of field data sites. The comparison of iCOR and Alcolite AC algorithms in 10m and 60m resolution of one site showed in Figure 5-4. Blue lines represent $R_s$ from iCOR algorithm (the output of iCOR is water leaving reflectance, and thus it has to transfer to $R_s$ divided by pi hereby). Red lines stand for $R_s$ from the Alcolite algorithm. And black is the convolved field measured $R_s$ data. Additionally, solid lines represent 10m spatial resolution while dash lines stand for the 60m spatial resolution. The statistical parameters are shown in Table 5-2 for an insight of the assessment of AC algorithms.

Apparentely, the spectra of iCOR in 60m spatial resolution is much closer than the others to the field measurements from the Figure 5-4. And only the iCOR in 60m spectra describes the trend of 443nm and 490nm ($R_s(443)>R_s(490)$) in the same way with the in situ $R_s$. Besides, the $R^2$ represents the strength of correlation between field data and AC results regarding shape, and the iCOR in 60m have the largest value means the iCOR in 60m own the strongest relationship with the field data compared to the others. With respect to RMSE and rMAD, the spectra of iCOR in 60m also possesses the smallest values (RMSE=0.0019, rMAD=19.2977%). The rMAD of iCOR in 10m and Alcolite in 10m are excess 100% which signifies the $R_s$ values between $R_s$ of iCOR in 10m as well as Alcolite in 10m and field measured are not in the same magnitude. Therefore, the iCOR at 60m was adopted to perform AC.

It has to be mentioned that iCOR in 60m AC algorithm is not performed as good as this one site for all the field data, it is considered, however, adequate for this study.
Table 5-2 Statistical parameters of the iCOR and Alcolite AC algorithms in 10m and 60m spatial resolutions.

<table>
<thead>
<tr>
<th></th>
<th>iCOR_10</th>
<th>iCOR_60</th>
<th>Alcolite_10</th>
<th>Alcolite_60</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>R²</td>
<td>RMSE</td>
<td>rMAD</td>
<td></td>
</tr>
<tr>
<td></td>
<td>0.94</td>
<td>0.0238</td>
<td>225.36</td>
<td>93.6</td>
</tr>
<tr>
<td></td>
<td>0.99</td>
<td>0.0019</td>
<td>19.30</td>
<td>81.95</td>
</tr>
<tr>
<td></td>
<td>0.55</td>
<td>0.0167</td>
<td>164.17</td>
<td>81.95</td>
</tr>
<tr>
<td></td>
<td>0.51</td>
<td>0.0097</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

5.3.2. Mapping $K_d$ by 2SeaColor model

Figure 5-5 depicted the $K_d$ maps at the wavelength of 490 nm from October to December of 2016 and 2017 of Namtso Lake. It must mention that the easily observed discrete horizontal line was created by mosaicking in Figure 5-5 (a) and (c). Also, the relatively conspicuous strip area was the S2 camera trace for all the images showed in Figure 5-5. Besides, there were several small abnormal areas in 28th Oct.2016, 6th December.2016 and 16th December.2016 caused by clouds. Thus, these three effects should ignore when one analysing the spatial and temporal patterns of $K_d$.

The spatial characteristics could be observed, as nearshore waters presented a high $K_d$ value, particularly at the north and west of the lake, whereas, the offshore waters showed a much lower $K_d$ value. The values of $K_d$ on 28 October 2016 (Figure 5-5 (b)), however, exhibited an inverse spatial pattern, as the high values can be found in the middle of the lake, while, only the west of the lake presented a low $K_d$ value. Besides, the $K_d$ on 27 September 2017 (Figure 5-5 (e)) also showed a different pattern that $K_d$ is high except the south of the lake.

As for the temporal pattern of $K_d$, there are no enormous variations within the whole time series regardless the Figure 5-5 (b) and Figure 5-5 (e). However, one can conclude that $K_d$ in December is lower than the October if neglects the data limitation.
5.4. Verification

The statistic variables were $K_d$ for C2RCC and 2SeaColor models on three days were shown in Table 5-3. The field measurement also shown as the indicator of model performance. Apparently, according to the mean values of C2RCC and 2SeaColor on the day of 28th Oct 2016, the 2SeaColor has a better performance since it is closed to the mean value of the field data, while the mean values of C2RCC are smaller than the 2Seacolor’s on all the three days which means the C2RCC could underestimate the $K_d$. In addition, the stand deviations of 2SeaColor model are much greater than C2RCC’s could indicate the $K_d$ produced by 2SeaColor model is more dispersion. The stand deviation and dynamic range (DR) of 2SeaColor, however, closed to the field data on 28th Oct 2016 represented $K_d$ of 28th Oct 2016 and field data share the similar range.

Overall, the 2SeaColor has better performance while the C2RCC is underestimated the $K_d$.

Table 5-3 The statistic variables of $K_d$ for C2RCC and 2SeaColor models on three days. DR represents dynamic range (N=10).

<table>
<thead>
<tr>
<th></th>
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<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Statistics</td>
<td>Mean</td>
<td>STD</td>
<td>DR</td>
</tr>
<tr>
<td>C2RCC</td>
<td>0.106</td>
<td>0.038</td>
<td>0.129</td>
</tr>
<tr>
<td>2SeaColor</td>
<td>1.069</td>
<td>0.445</td>
<td>1.366</td>
</tr>
</tbody>
</table>
| Field data    | Mean: 0.752   | STD: 0.471    | DR: 1.151     | collected on 25th May.2017.
5.5. $K_d$ and sensible heat flux ($H$)

5.5.1. The temporal correlation analysis for $K_d$ and LST

The relation between $K_d$ and LST with respect to temporal variation was quantified by the correlation coefficient ($R$) showed in Figure 5-6. $R$ took the contributions for all the pixels into consideration regardless the spatial distribution, thus, it represented the temporal correlation ignored the spatial influence. One can observe that $R$ contained both positive and negative values from Figure 5-6. This inconsistency is able to attribute to the replacement of night-time LST product because night-time LST presented an inverse behaviour compared to the daytime LST, i.e. the lake surface temperature is lower than the land temperature at daytime while it is opposed at night time. Besides, the only $R$ of 22nd October 2017 was greater than 0.5, while others are relatively low. This may imply lake surface temperature is not determined by diffuse attenuation coefficient exclusively, further, it is hard to isolate the effect of light attenuation to the lake surface temperature. In addition, the low correlation coefficients could also attribute to (1) the different acquisition time between S2 and MODIS, (2) the algorithm of LST computation, since there is no in-situ lake surface temperature was collected in the Namtso Lake, thus, the LST data from MODIS was not validated.

![Figure 5-6 The correlation coefficients between $K_d$ and LST.](image)

5.5.2. The spatial correlation analysis for $K_d$ and LST

Every pixel value in correlation ($r$) map (Figure 5-7) were computed by two columns of data as (1) the pixel value of LST for all the daytime series (2) the pixel value of $K_d$ for all the same time series and location with LST. Therefore, the correlation map presented the spatial characteristics of the relationship between $K_d$ and LST. Apparently, it is easy to observe that the most areas of the lake own a high correlation coefficient except the south of the lake. The correlation almost zero in the south of the lake indicated that the $K_d$ and LST are almost uncorrelated. Besides, there is a small parcel presented a high negative correlation in the southwest part of the lake.
5.5.3. The correlation analysis for $K_d$ and $H$

According to the Eq. (15), the sensible heat flux ($H$) and the correlation coefficients were (Co) computed and was shown in Table 5-4. The correlation coefficient for $K_d$ and LST ($T_s$) at the single site, PBL tower site, is 0.93 which indicate that $K_d$ and LST have a strong positive relationship, while the correlation coefficient for the $K_d$ and $H$ is -0.85 which present a strong negative relationship. The correlation coefficient for the $K_d$ and the difference between $T_s$ and $T_a$ ($\Delta T$) is -0.66, the correlation coefficient between the wind speed ($U$) and $H$ is 0.98 indicated that the wind speed predominates the sensible heat flux.

Table 5-4 The meteorological data, computed $H$ and the correlation coefficients.

<table>
<thead>
<tr>
<th>Date</th>
<th>$U$ (m/s)</th>
<th>$T_a$ (K)</th>
<th>$T_s$ (K)</th>
<th>$T_s$ - $T_a$ (K)</th>
<th>$K_d$ (m$^{-1}$)</th>
<th>$H$ (W·m$^{-2}$)</th>
<th>Co$_{K_d}$</th>
<th>Co$_{K_d}$ - $T_s$</th>
<th>Co$_{K_d}$ - $\Delta T$</th>
<th>Co$_{K_d}$ - $H$</th>
<th>Co$_{H}$</th>
<th>$U$</th>
</tr>
</thead>
<tbody>
<tr>
<td>Daytime</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6th, 10, 2016</td>
<td>7.6</td>
<td>271.2</td>
<td>277.6</td>
<td>6.5</td>
<td>0.23</td>
<td>64.9</td>
<td>0.93</td>
<td>-0.66</td>
<td>-0.85</td>
<td>0.99</td>
<td></td>
<td></td>
</tr>
<tr>
<td>27th, 09, 2017</td>
<td>1.0</td>
<td>282.7</td>
<td>285.9</td>
<td>3.3</td>
<td>0.50</td>
<td>4.2</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>22nd, 12, 2017</td>
<td>0.9</td>
<td>278.4</td>
<td>283.6</td>
<td>5.2</td>
<td>0.35</td>
<td>6.4</td>
<td></td>
<td></td>
<td></td>
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<td></td>
<td></td>
</tr>
<tr>
<td>Night time</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>18th, 10, 2016</td>
<td>2.3</td>
<td>276.1</td>
<td>282.4</td>
<td>6.3</td>
<td>0.04</td>
<td>19.4</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>28th, 10, 2016</td>
<td>2.6</td>
<td>269.0</td>
<td>278.9</td>
<td>9.9</td>
<td>1.15</td>
<td>33.9</td>
<td>-0.12</td>
<td></td>
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<td></td>
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<td>16th, 12, 2016</td>
<td>10.6</td>
<td>272.8</td>
<td>275.4</td>
<td>2.5</td>
<td>0.19</td>
<td>35.6</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>0.6</td>
</tr>
</tbody>
</table>
6. DISCUSSION

In this study, the 2SeaColor model was employed to estimate the $K_d$ over Namtso Lake. The model was validated by in situ data. Further, the sensible heat flux ($H$) was computed, sequentially, the correlation analysis was conducted between $K_d$ and $H$.

6.1. Assessment of 2SeaColor model

6.1.1. Validation

It is found that 2SeaColor model using the convolved $R_{\text{rs}}$ performed much better than before convolution. This is mainly because convolution averaged the error. The slight discrepancy of $R_{\text{rs}}$ was enhanced when propagated to IOPs, i.e. absorption coefficient and backscattering coefficient, through the spectrum optimisation. The equations (Eq. (9) (10) (11)) employed by spectrum optimisation was an exponential function which leads to the enhancement of IOPs. The absorption coefficient ($a$) and backscattering coefficient ($b_s$) increased by 44.7% and 35.8% averagely for all the in-situ measurements. The ratio $x$ of backscattering coefficient to the absorption coefficient present an ensemble effect of light attenuation, thus, $x$ was reduced due to the increasing of IOPs. Therefore, it indicated that more energy of incident light was attenuated by the water constituents, consequently, $K_d$ produced by 2SeaColor increased.

The significant improvement in terms of slope can be understood that the convolution plays a critical role in the $K_d$ retrieval. Spectral response functions define the spectral band position and extent, thus, it is normally considered as the pivotal source of uncertainty and compatibility for different sensors (D’Odorico, Gonsamo, Damm, & Schaepman, 2013; Teillet, Fedosejevs, Thome, & Barker, 2007). Although the convolution is by no means affected the overall spectral shape of $R_{\text{rs}}$, it has a significant impact on $K_d$. The impact also reflects that AC should be very careful to be treated (Wang, Son, & Shi, 2009). This result showed the 2SeaColor model was stable and applicable to the alpine Lake Namtso using S2 data. Also, it was consistent with previous studies that validation of 2SeaColor model in Naivasha Lake (Suhyb Salama & Verhoef, 2015) and Yangtze estuary (Yu et al., 2016).

6.1.2. Verification

Basically, 2SeaColor model and C2RCC model have a different range of the estimated $K_d$ which could mainly due to the employed the totally different AC algorithm. The only input of the 2SeaColor model is the remote sensing reflectance that derived from AC, hence, AC is attributed to the enormous difference between the $K_d$ estimated by 2SeaColor model and C2RCC model. In addition, the mean value and standard deviation of 2SeaColor on the 15th May 2017 in Table 5-3 is greater than the field data, which indicated on that day, the 2SeaColor model could overestimate the $K_d$. Therefore, it is concluded that both of the 2SeaColor model and C2RCC model are not given a very good agreement, while comparably, the 2SeaColor model explicated a better performance.

6.2. The spatial and temporal characteristics of $K_d$ in Namtso Lake

The $K_d$ is an apparent optical property that depends on the illumination-viewing geometry. Since 2SeaColor model takes into accounts the solar zenith angle, thus, solar zenith angle is not considered as a factor that affects the $K_d$ variation. Besides, $K_d$ is the quantification of the underwater light availability, and it is determined by the and IOPs of optically active water constituents. In general, typical optically active water constituents such as chlorophyll-a (Chl-a), dissolved organic matter (DOM), suspended particulate matter (SPM) mainly influence the $K_d$ variability (Mobley, 1994; Liu et al., 2010; Cairo, Barbosa, de Moraes Novo, & do Carmo Caliuri, 2017). Morel & Antoine (1994) suggested $K_d$ rises from the concentration of Chl-a caused by bio-optically induced radiative heating.
The geographical information explains the distribution of optically active water constituents in Namtso Lake. About 60 rivers flowing into the Namtso Lake and most of these rivers are small rivers. These small rivers distributed in the west and south of the lake (Wang et al., 2010). Additionally, Wang et al., (2010) indicated the large perennial rivers are drain into the western and eastern parts of the lake. The underwater topography has an important effect on the SPM enrichment. Bathymetric surgery was conducted to understanding the underwater topography features (Wang et al., 2009). There is a large deep flat area in the centre of the lake, and the deepest depth could reach 98.9m. Besides, the lake floor slope with a sharp gradient was found at the north and south of the lake where mountains are situated, while the west and east have a gentle slope where the alluvial fans are distributed caused by the large perennial rivers. Thus, it has a potential of SPM enrichment in Namtso Lake.

Liu et al. (2010) suggested that high Chl-a was found in the northern offshore water of Namtso Lake in September 2005. But the average value of Chl-a was 0.46 µg*L⁻¹ which are still low. Lami et al. (2010) indicated the concentration of pigments was increased during the recent years. It can attribute to the extended growing season of algae caused by climate warming. Nima et al. (2016) found that the concentration of Chl-a was very low (0.1 µg*L⁻¹) although the sample was collected during the productive season, May to September.

To sum up, Kd in Namtso Lake is dominated by SPM rather than by the concentration of Chl-a. The northeast and the northwest of the lake showed a high Kd value. This is mainly because the sand and silt transported by the rivers were enriched in the northeast and northwest of the Namtso Lake. The sand and silt could view as the SPM which often explicit a strong forward scattering. The strong forward scattering considered as the non-scattering fluxes by a 2SeaColor model that results in the Kd increased. In fact, the Kd values are still low compared to other study areas (Lee, Du, et al., 2005; Zhang & Fell, 2007; Simon & Shanmugam, 2016) that indicate the Namtso Lake is a reasonable turbid alpine lake that Kd varied with time (Vollenweider, 1982; Nima et al., 2016).

As for the temporal pattern, due to Namtso Lake located in the TP which is known as extremely cold in winter, little activity of water, also phytoplankton (Calijuri, Dos Santos, & Jati, 2002) and suspended particulates was presented. Therefore, the Kd explicated a similar pattern of variation in December. In another hand, Kd in September and October, especially on the day of 28th of October 2016 presented a much greater spatial variation than the Kd in December. The greater spatial variations of Kd on 28th of October 2016 could attribute to the large ΔT value (9.9 K). It is reported that the largest ΔT appeared in the autumn and winter in Namtso Lake (Haginoya et al., 2009). Due to the significant difference between air temperature and water surface temperature, the deeper water is requested to exchange energy with the upper layer water in order to compensate the large difference between lake surface temperature and air temperature. This request leads to the enhancement of the stratification and convection of the upper layer of the lake. This result could compare to the study on the Labrador Sea (Wu et al., 2007) that the mixed-layer depth reduced by 20-50% after the external heating induced to the water body.

6.3. The relationship between Kd and H

Increased Kd represents more incoming solar radiation being attenuated by the upper layer of the water body (Giardino, Pepe, Brivio, Ghezzi, & Zilioli, 2001; Zhang et al., 2015; Chen, Zhang, Xing, Ishizaka, & Yu, 2017). The attenuated solar energy could result in the increasing of the water surface temperature. It is known that surface temperature is one of the factors that controlled the sensible heat flux. Previous studies (Wu et al., 2007; Wu, Platt, Tang, & Sathyendranath, 2008) demonstrated the bio-optically induced Kd variations impact on the water surface temperature. However, rare studies concentrated on the question: to what extent, the Kd dominate the LST or H? This study conducted the correlation analysis between Kd and LST for the whole Namtso Lake, also the correlation between Kd and H for one point of the Namtso Lake.
6.3.1. The temporal correlation analysis of $K_d$ and LST

$R$, the temporal correlation coefficient in this context, represents the correlation between instantaneous variables $K_d$ and LST for all the pixels and for every single acquisition time of S2 and MODIS. Figure 5-6 indicates that it is not conclusive that the $K_d$ and LST are correlated within the eight selected dates due to the low correlation coefficients. This result could attribute to (1) $K_d$ and H are instantaneous variables, thus, different acquisition time of S2 and MODIS could lead to the uncorrelation, (2) $R$ explicated the overall effect (refer to Eq.14) of the map of $K_d$ and LST which could eliminate some interconnections between $K_d$ and H. Additionally, the correlation coefficients of 17th and 22nd October 2017 are relatively high compared to other dates. The reason for the high temporal correlation coefficient needs more investigation. In summary, the $K_d$ and LST are varied in these eight acquisition time, so the results are not enough to draw a conclusion.

6.3.2. The spatial correlation analysis of $K_d$ and LST

The spatial correlation coefficients, $r$, represent the correspondence for every pixel between $K_d$ map and LST map for the four daytime products. The result (Figure 5-7) of spatial correlation analysis showed $K_d$ is significantly positively correlated with LST except for the south part of the lake. The result indicated that the $K_d$ and LST shared the same pattern within the specified time series for the most part of the lake. The weak correlation at the south part of the lake could attribute that the values of $K_d$ at the south of the lake on the 27th September.2017 was lower than the other three days. Besides, a small area with the extreme high negative correlation coefficient occurred in the bottom left corner on account of the clouds on the day of 6th December.2016. The edge of the clouds presents a much higher $K_d$ values than the water due to the strong attenuation effect by the clouds, thus, the $K_d$ of the edge of clouds was very high. The centre of the clouds is no value in the $K_d$ map because the Rrs is too high to input to the 2SeaColor model. Previous studies (Wu et al., 2008; Giardino et al., 2001; Wu et al., 2007) normally focus on how LST varied with $K_d$ instead of the correlation analysis. In summary, LST is the boundary that connects the turbulent air and the turbulent water. Generally, LST dependent on sensible heat flux that the LST air-water heat flux feedback is around 20 W*m$^{-2}$K$^{-1}$ for the seawater (Hausmann, Czaja, & Marshall, 2016; Frankignoul, Czaja, & L’Heveder, 1998), considering, also, the high correlation between $K_d$ and LST presented in Figure 5-7 that indicated $K_d$ is related to H. However, solar radiation, wind speed, horizontal advection and stability of the water column also determined the LST (Emery & Yu, 1997). Therefore, one should consider not only the relationship between $K_d$ and LST but also consider the other parameters of air-water interaction if one performs the correlation analysis between light attenuation and water surface temperature.

6.3.3. $K_d$ and H

The correlation coefficients of environment variables among the air-water interaction are listed in Table 5-4 above. The correlation coefficients ($K_d$ & Ts, $K_d$ & $\Delta$T, $K_d$ & H and H & U) only calculate from the PBL site which site contains the meteorological data. The daytime correlation coefficients computed by the daytime LST products on 6th December.2016, 27th September.2017, 17th October.2017, and 22nd October.2017 as well as the corresponding $K_d$ map produced by 2SeaColor model. The night time correlation coefficients were calculated in the same way. Table 5-4 showed the low coefficients of night time simply because the $K_d$ and LST product is not acquired at the same time as $K_d$ maps were acquired at daytime, but LST products were acquired at night time. For daytime correlation coefficients, the significant negative correlation coefficient ($=-0.85$) between $K_d$ and H indicated that an increase of $K_d$ results in a decrease of H. This is mainly because when $K_d$ increased, more energy was tapped in the water lead to restricting the heat releasing, thus, the H decreased. Wind speed has the most important contribution of H according to the largest correlation coefficient ($=0.98$) between U and H. In another word, wind speed plays a more significant role in the heat transfer compared to $K_d$ on
the Namtso Lake. The result of the physical control of sensible heat flux was consistent with the small lake near the Namtso Lake investigated by Wang et al., 2015 and Wang et al., 2017. Actually, the Eq.15 simplify the calculation of H that only consider the Ta and Ts and wind speed. But the real situation is much more complicated than the Eq.15 described. H is also related to the atmospheric stability and the energy exchange via atmosphere advection between the lake and land surfaces (Lofgren & Zhu, 2000).

6.4. The limitations

The field measurement was performed on 25th May 2017 while the acquisition date of S2 matchup data was on 15th May 2017. The difference between data from the two days could induce the major uncertainty. In addition, estimation of the uncertainty generated form IOPs inversion scheme play an important role in the assessment of the ocean colour retrieval algorithm (Salama et al., 2011; Lee et al., 2010). In this study, however, IOPs such as absorption coefficient and backscattering coefficient are not measured could result in the errors originated from the inversion scheme in 2SeaColor model cannot observe. Besides, the atmospheric correction should select carefully. General errors may originate from the highly dynamic water turbulence and subpixel effect, and the human-induced error when performing the in-situ measurements. Besides, satellite data limited by clouds, also the number of in-situ measurements and the meteorological data that used to calculate the H is excepted cover the whole lake. Therefore, based on the limitation mentioned above, the following recommendations were given (1) field measurements should cover more areas of the lake, (2) the wind speed data and the air temperature are supposed to use the dedicated model to estimate in order to provide the wind speed map and air temperature map of the lake, (3) the studied time series should extend.

7. CONCLUSIONS

In this study, the 2SeaColor model was employed to estimate the diffuse attenuation coefficient for the underwater downward irradiance in combination with S2 data over Namtso Lake in TP. Based on the results and analysis above, the following conclusions were drawn:

1. The 2SeaColor model is capable of providing a relatively accurate estimation of $K_d$ that is ranging from 0 to 2 m$^{-1}$ in Namtso Lake.
2. The northeast and northwest of the nearshore waters of the lake presented a higher $K_d$ value than the offshore of the lake. This spatial distribution of $K_d$ is attributed to the SPM transported by the inflowed river was enriched in the river mouth. Therefore, the $K_d$ mainly controlled by SPM in Namtso Lake. The temporal distribution of $K_d$ explicated a similar pattern because it is cold months during the studies time series which result in the little activity of the optically significant water constituents.
3. It is not conclusive that the $K_d$ and LST are temporally correlated within the eight studied time series. As for the spatial correlation between $K_d$ and LST, however, $K_d$ of most of the lake areas are significantly correlated with LST.
4. The correlation coefficient between $K_d$ and H is -0.85 for one site in the lake for four days’ measurements. Therefore, $K_d$ has an enormous potential to predict the LST or H despite the influential mechanism should vastly investigate.
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